

# Neighbourhood Planning

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## Guidance Notes for Grant and Technical Support

Neighbourhood Planning  
Grant and Technical  
Support Guidance Notes

# Table of Contents

Welcome .....	3
What support does the programme offer?.....	4
Grant Support.....	4
Additional Grant.....	4
Technical Support.....	4
What am I eligible to apply for? .....	4
Which neighbourhood planning groups are eligible for additional support? .....	5
How much grant funding can you apply for?.....	5
How will Technical Support Help? .....	5
Who can apply?.....	6
Getting started if you are a prospective neighbourhood forum.....	7
Do you need a fundholder? .....	7
How do I make an application?.....	7
Completing the Expression of Interest form.....	8
Made Neighbourhood Plans.....	8
Preparing your application form.....	8
What can I spend the grant on? .....	9
What can't the grant money be spent on?.....	10
VAT.....	10
Preparing a budget breakdown .....	10
Consultancy support and Professional fees.....	10
Applying for a contribution to a larger budget.....	12
Why the grant is needed / how will it help move the plan/ NDO forward? .....	12
How are the Grant applications assessed? .....	12
Grant Applications.....	12
Letting you know the outcome of your application .....	12
What happens if you are successful in being offered a grant? .....	13
What do you need in order to receive funding?.....	13
How are the Technical Support applications assessed?.....	15
Tips and hints and Troubleshooting for completing the on line application form .....	15
Important notes .....	16

**Effective: 19 March 2018**

## Welcome

Since 2011 when the Localism Act introduced the power for communities to develop neighbourhood plans and neighbourhood development orders (NDOs), the use of the legislation has become embedded and there are a growing number of places making their own neighbourhood plans and NDOs.

The 2018-22 support programme provides grant and technical support to eligible groups. Before you start to make your application please read all of the guidance carefully and before you press submit on your application please read our 'Important notes' section one final time and ensure these have all been considered and addressed where necessary.

## What support does the programme offer?

The programme offers two types of support: grant and technical support.

Your answers to the questions on the expression of interest form will help us to identify what support you are eligible for and which may be the most appropriate for your needs.

### Grant Support

All groups undertaking a neighbourhood plan or NDO are eligible to apply for up to £9,000 in grant. Groups facing more complex issues may be eligible for an additional £8,000.

The grant ceiling applies to the whole period from April 2015 to March 2022. So, if you received a grant from the 2015-18 programme, the amount of this grant (net of any underspends returned) will be taken in to account. For example, if you are eligible for the basic grant and have already received £5,000 in the 2015-18 programme then you will be able to apply for up to £4000 from this programme.

You must have completed the end of grant reporting for any previous grant received before making a new application. If you have any questions about this, please contact your grant administrator at [mycommunity@groundwork.org.uk](mailto:mycommunity@groundwork.org.uk).

If you are updating a neighbourhood plan that has already been 'made' by the Local Planning Authority, then you are eligible to apply for the full grant amount and any support received in making your previous plan is not taken into account.

Each application should be for an amount of £1,000 - £9,000. Please note that currently you can only apply for funds that will be spent before 31 March 2019. Any grant unspent by this date will need to be returned

### Additional Grant

If you are eligible for additional grant, you can apply for further funding of up to £8,000 giving a maximum total amount of £17,000. The process for applying for this additional funding is exactly the same as for the basic grant. You will need to complete an Expression of Interest and will take into account the amount of grant received from the 2015-18 (net of any underspends returned).

The decision to award the additional grant may be subject to approval by the Ministry of Housing, Communities and Local Government (MHCLG).

### Technical Support

Groups facing complex issues, such as allocating sites for development or including design codes in their neighbourhood plan, can apply for one of our packages of Technical Support. These are delivered by AECOM or our other delivery partners (such as NPIERS, Urban Vision Enterprise CIC. and Intelligent Plans).

**Technical Support has no link to the amount of grant a group can receive and is awarded as a technical work package, not a financial grant.**

Technical Support **cannot be used to fund** consultants you have chosen to deliver support. If you are eligible for Technical Support, but wish to continue working with your consultant, we recommend you review the packages of Technical Support available to you through the programme, and talk to your consultant about how best they can also support you, potentially funded through grant. This way you will be able to receive the widest range of support from the programme.

### What am I eligible to apply for?

All groups undertaking a neighbourhood plan or NDO are eligible to apply for up to £9,000 in grant.

## Which neighbourhood planning groups are eligible for additional support?

**Technical support** is available to groups in any of the following circumstances:

- Allocating sites for housing in your neighbourhood plan
- Proposing to include design codes in your neighbourhood plan
- Preparing a Neighbourhood Development Order

The programme also offers 'Forum Development' support specifically for groups who are setting up a neighbourhood planning group in an unparished area. [Link here](#)

**Additional grant** is available to groups if any one of the following is met:

- Allocating sites for housing in your neighbourhood plan
- Proposing to include design codes in your neighbourhood plan
- All groups writing a Business led Neighbourhood Plan
- All groups preparing a plan for a cluster of three or more parish councils
- Neighbourhood plans for areas which have a population of over 25,000 people

## How much grant funding can you apply for?

All groups can apply for a total of £9,000 across the seven year period from 2015-2022. Each application should be for an amount of £1,000 - £9,000. Applications with amounts outside these parameters will be rejected.

Groups which are eligible for the additional grant will be able to apply for grants totalling £17,000 over the duration of their plan development.

We would encourage you to consider your needs during the period to 31 March 2019, so that you don't need to make multiple applications within the year. Whilst up to 4 applications may be submitted per group we

anticipate an average of 2 over the course of the 2018-22 programme.

Please note that you can currently only apply for funds that will be spent before 31 March 2019. Any unspent grant will need to be returned when you complete your end of grant reporting.

## How will Technical Support Help?

Technical Support is designed to provide you with technical work packages that include information (evidence) and advice (professional judgement) which will help you to decide and articulate your policy priorities and to engage confidently with stakeholders such as developers, councils, local businesses and of course residents who will have a vote at the end of it all. Using this information to produce your finished plan will help you to deliver a plan that can stand up to challenge and scrutiny.

Our consultants are all highly skilled and have wide experience in supporting the preparation of Neighbourhood Plans and Neighbourhood Development Orders. They will work alongside you doing only what you cannot manage. From the outset they will agree a clearly defined brief with you for the work they will carry out. The support will be carried out over a set period of time and will often lead to a written report.

Technical Support is offered in a range of 'packages'. The support available reflects the most common needs of groups preparing Neighbourhood Development Plans and Neighbourhood Development Orders.

If a Neighbourhood planning area meets one or more of the eligibility criteria above, subject to MHCLG sign off the following packages of support may be available:

### Setting up a Neighbourhood Planning Group (in unparished areas only)

Provides practical help to a potential Neighbourhood Planning Forum over an extended period of time to build up its early knowledge, functionality, the broadest possible membership and best structure in order to apply for successful designation as a

Qualifying Body (QB) for Neighbourhood Planning purposes.

### Housing Needs Assessment (HNA)

This assessment provides vital evidence to help you understand the expected demand for housing in your neighbourhood over your plan period.

### Site Options and Assessment

This technical support package will provide advice to groups who are intending to assess potential sites with a view to allocating land for development in their neighbourhood plan.

### Environmental Impact Assessment (EIA)

Under this package, where a neighbourhood planning group has been told by a Local Planning Authority (LPA) that they need an EIA 'screening opinion', the group will receive clear advice on whether an EIA is required under the Town and Country Planning (EIA) Regulations 2017, where a development is promoted through the NDO consenting route. In most cases, it is unlikely that an EIA will be required.

### Evidence Base and Policy Development (EBPD)

Aims to help groups with their most ambitious and challenging policy aspirations, and ensure the final policy wording is justified in terms of evidence, is capable of standing scrutiny at examination and proves effective when applied in the real world.

### Strategic Environmental Assessment (SEA)

Where the LPA have confirmed a Strategic Environmental Assessment (SEA) is required for your Neighbourhood Area, this package will provide evidence to integrate environmental considerations into the plan-making process, through a formal SEA and associated environmental report (that reports the outcomes of the SEA process).

### Masterplanning

The masterplanning package provides a high level spatial plan for part of your neighbourhood area, setting out how you would like to see development or regeneration come forward.

### Design including Design Codes

In this package, professional urban designers will work alongside groups, harnessing their ideas and local knowledge, to produce

bespoke urban design guides or codes for potential development or regeneration sites.

### Habitats Regulation Assessment (HRA)

This support assists groups to navigate a key regulatory process by providing the local planning authority with the evidence they need to draw conclusions regarding effects on internationally important wildlife sites. It will also assist groups with removing any clashes with such sites (e.g. an adverse water quality effect on an international wildlife site from a proposed allocation in a draft neighbourhood plan) before they submit their plan to the LPA.

### Plan Health Check Review

The health check will look into whether a draft plan meets the basic conditions and provides advice on any potential amendments required to ensure the conditions are met, prior to submission of the plan to the LPA.

If you are interested in applying for technical support, there is a link to the full detail of the packages [here](#).

## Who can apply?

Many areas of the country have a Town or Parish Council. Where these bodies exist, they are the Qualifying Body and must lead the Neighbourhood Plan or Neighbourhood Development Order. They can set up a working group to take forward the plan, but the Town or Parish Council remains the responsible body and it must be a representative of the Town or Parish Council that applies for support.

In other parts of the country which are unparished, you will need to put in place a Neighbourhood Forum. Prospective and designated neighbourhood forums are eligible to apply to the programme, but there are limits on the initial support which will be provided prior to designation

The **named applicant** should be a representative of the qualifying body leading the plan and must not be a consultant or other person who will be paid through an awarded grant. This person may be contacted by telephone as part of the assessment and

needs to be available during normal working hours. You will also be asked to provide details of an alternative contact if the main applicant is unavailable

## Getting started if you are a prospective neighbourhood forum

At this stage in your journey, we will only offer a small grant of up to £2,500 for activities such as room hire or awareness raising communications to enable community engagement activities.

We also offer 'Forum Development' as a technical support package for groups at this stage.

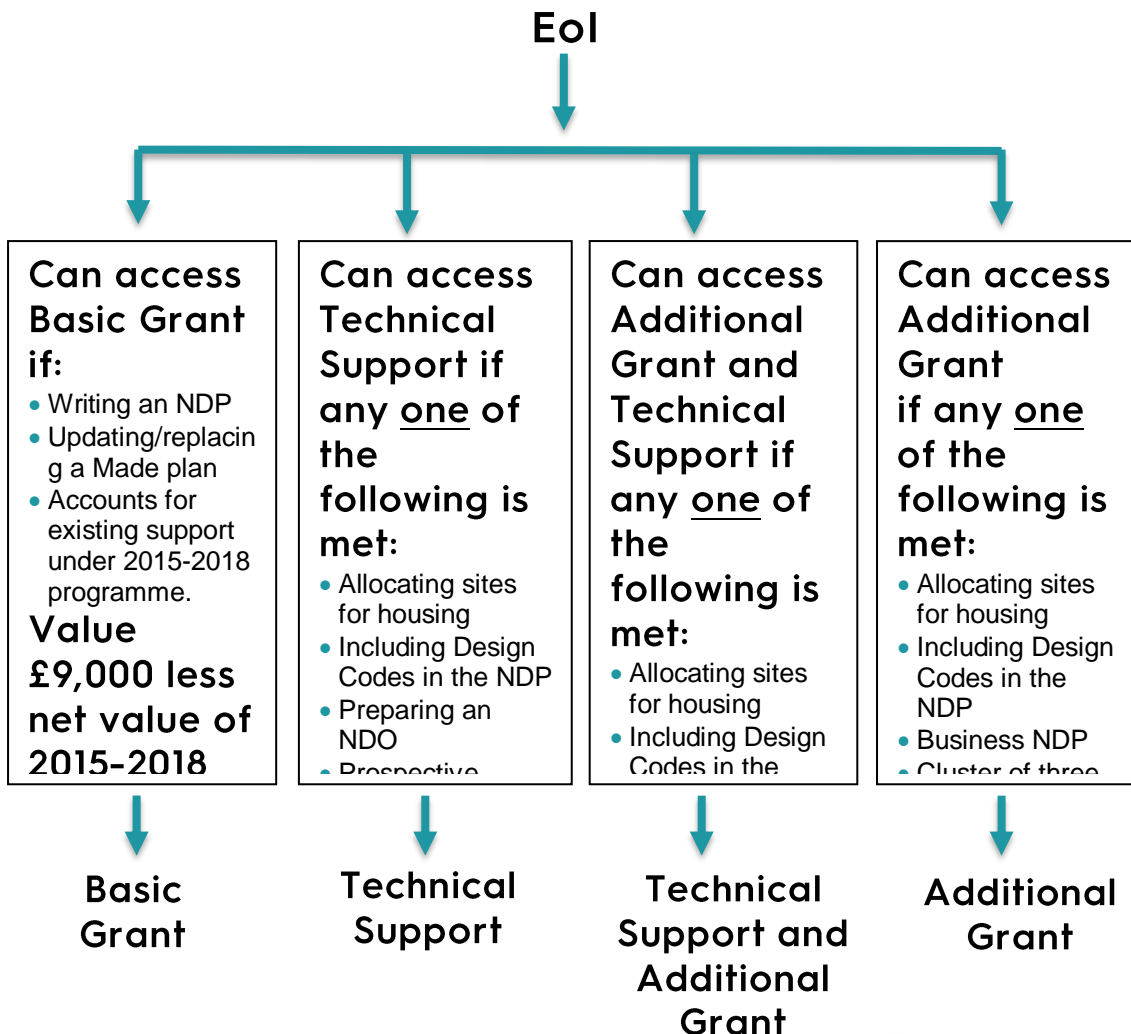
## Do you need a fundholder?

If you are a Neighbourhood Forum/prospective Neighbourhood Forum, which has not been incorporated and you are applying for a grant, it is important that you identify an eligible organisation to hold the grant for you. There is more information about groups which are eligible to hold the grant [here](#).

We do not encourage neighbourhood forums to incorporate just to hold the grant. This will normally incur legal and financial costs such as audit fees.

We will ask for details of your fundholder as part of the Application process

## How do I make an application?



## Completing the Expression of Interest form

If you are eligible to apply, then the first stage of the process is to establish what type of support you qualify for. This is done through the [Expression of Interest](#) form. **You will need to complete an expression of interest form each time you want to apply for a grant and/or technical support.**

You will be asked to provide basic information about the group and to answer some questions which help to identify what level of support you may be eligible for and your contact details.

A number of questions require a simple yes or no answer. Where questions require further investigation, the following should help you to complete the Expression of Interest.

- Is the emerging neighbourhood plan/NDO seeking to allocate homes in the neighbourhood area? You will be asked to explain the amount of housing (number of houses) you are seeking to allocate and the amount specified in the Local Plan.
- Are you assessing sites for housing within your neighbourhood area? You will need to give the number of sites you are assessing or will assess. If you are undertaking site assessments and / or site allocations, regardless of the number of sites, you are eligible to apply for the additional grant and technical support.
- Are you part of a group of three or more parish councils working to prepare a single neighbourhood plan? If you are then you must give the names of all the parishes.
- Business Neighbourhood Plan: This is a specific designation of a neighbourhood area that is wholly or predominantly business in nature.
- Population of 25,000 or more: This figure should include local residents of all ages, not just those who are of voting age. If you are unsure of this

figure, particularly if you are setting up a neighbourhood forum, please either speak to your local planning authority or, if you are still at a very early stage, provide us with a reasonable and rationale estimate to the nearest thousand.

## Made Neighbourhood Plans

A "Made" Neighbourhood Development Plan is one that has passed its referendum and has been brought into legal force by the Local Planning Authority. If you are applying for support to modify an existing plan, please select 'yes' and give the date when the plan was made.

## Preparing your application form

Your answers on the Expression of Interest will determine whether you are eligible for technical support and/or additional grant. This information will be used to generate the relevant application form for you to complete and you will receive this overnight as a unique link via email. If you can't find this email, please check the [troubleshooting tips](#).

We have prepared a guide to the questions that you will need to consider here.

The link to the application form will stay live for 30 days, during which time you can revisit it at any time. It will automatically save the information you have entered provided that you have clicked the 'next' button on the relevant page. **Once you have pressed the submit button on the final page you will not be able to make any further change or submit your form again.**

The link will be automatically deleted after 30 days. Please ensure you complete it within this time period or any information will be lost.

Please provide as much, and as detailed information as possible. Those applications



that do not provide us with enough information to make a decision on will be rejected. You will also need to explain why the grant is needed and how the proposed activities will help move the plan/ NDO forward. Please use these questions to explain how the activities you want to undertake will help you to develop your plans and what you want to achieve as a result.

Some of the questions on the application form are required fields and some are optional. The required fields are marked with a red \* If you leave a required field blank, you will not be able to continue to the next section of the application form until you have completed it. We provide you with text boxes to add any details that you think are relevant.

Please make sure you keep a note of any information you submit to us. You may wish to copy and paste your responses to a Word document as a backup.

Applications for grant are assessed on how well they meet the fund criteria and it is important that you do not rush your application. Make sure that you focus on the content of what you write. Although each application will be looked at on a first come, first served basis, it is the quality of the application that will determine whether or not it will be recommended for funding.

## What can I spend the grant on?

You are able to apply for costs associated with developing the Neighbourhood Plan or Neighbourhood Development Order.

The list below outlines the various stages that you will go through in developing your plan and may help you to think what you will need funding for:

- Training sessions for members of the steering group
- Help with putting together a project plan
- Public indemnity insurance (if you are a neighbourhood forum and this is not already in place)
- Help with developing the evidence base and analysing it to identify issues and aims for your plan

- Undertaking a housing survey
- Engaging a facilitator to help with capacity building, for community consultation or workshops
- Developing a website – please include costings or quotations in respect of the amount applied for; we do not fund the management of social media, although we will award grant for set up and training if group members lack confidence in this area
- Costs associated with planning and undertaking public engagement and consulting on the plan
- Engaging a planning expert to help you draft policies
- Support for making site allocations
- Contribution to required specialist studies, such as housing needs assessments, ecology surveys, including Phase 1 habitat surveys, or transport **if they will directly inform policies within your neighbourhood plan**. Please make it clear in the application form how the proposed work feeds into your neighbourhood plan policies and /or supports site allocations or designations
- Contribution to undertaking a strategic environmental assessment (SEA) if your local planning authority has identified that one is needed. Please include details about the date and outcome of the screening advice. We will only pay for work which supports the screening process if you have written confirmation from your Local Planning Authority that they require you to arrange this
- Support with undertaking the six-week pre-submission consultation (Regulation 14)
- Support with collecting and analysing responses from the six-week pre-submission consultation and deciding how to modify the neighbourhood plan
- Help with understanding whether your plan is ready for examination (meeting the Basic Conditions and other legal requirements)
- Provide training in the legal requirements which will be tested at the examination stage
- Venue hire
- Publicity materials
- Printing

## What can't the grant money be spent on?

There are a number of items of expenditure which are not eligible for a grant under this programme. These are listed below:

- Any general administration costs, such as arranging and minuting steering group meetings
- Funding salaried posts or compensating for loss of earnings, this includes paying additional hours for an existing member of staff and / or employing someone on a casual or freelance basis at an agreed hourly rate. The only exception to this is where you are engaging a planning consultant, or someone to deliver specialist, technical support, on an hourly basis, although even in these circumstances you may prefer to get a fixed price quote for the work to be undertaken
- Paying for volunteer time, however reimbursement of reasonable out of pocket expenses is eligible
- Reimbursing expenditure which has already been incurred
- Funding for issues which are not within the remit of a neighbourhood plan - for example highways issues. Many transport matters cannot be dealt with through a neighbourhood plan. For example, work that aims to provide solutions to traffic management on existing roads (e.g. new traffic lights), or work associated with making a case to change speed limits
- Capital items - generally this means that a purchase results in owning a lasting asset such as computers, photocopiers or digital projectors
- Any other activity which is not directly associated with developing the Neighbourhood Plan or Neighbourhood Development Order
- In addition, we cannot fund general contingency for unknown costs or cost overruns.

**Under no circumstances can we fund activity that has happened in the past, or prior to the grant being awarded.** We recommend that you only apply for funding for activities that start at least one month after the date of your application

If you are eligible for technical support, please ensure you are not applying for grant for packages of work that can be delivered through technical support. This means you can save your grant to pay for other costs!

## VAT

Activities which are funded by a grant are outside the scope of VAT and so the VAT cannot be recovered by groups who are not part of the government family, even if they are registered for VAT. Parish and Town Councils should refer to Notice 749 from HMRC which explains the special rules which apply to public bodies and should allow you to reclaim VAT in some circumstances. This may also be the case for a parish or town council which is not registered for VAT.

Please take this into account when costing your grant application and seek specialist VAT advice if needed.

## Preparing a budget breakdown

You will need to provide detailed breakdowns of the estimated costs for the support you require. There are two elements: professional fees and other project costs. There is a drop down menu to help you select the type of cost you are applying for.

The activities you apply for should ideally be those which will start at least one month after you submit your application (to allow time for the assessment and grants offer and acceptance process to be completed).

For each budget line you will need to provide a short summary of the outputs you expect to achieve from the work and include any day rates.

## Consultancy support and Professional fees

If you are using the grant to engage professionals, you need to explain in your application / the supporting quotation what

you expect them to deliver as a result of their work and how this contributes to your neighbourhood plan/NDO. You are strongly advised to ensure that they are appropriately qualified for the work they are delivering. This would generally mean a qualified planner, preferably a chartered member of the Royal Town Planning Institute (MRTPI), to provide advice on planning legislation, policy and issues. Similarly there are other specialists who you might need to engage and who should be suitably qualified.

In addition to the total cost, please provide the day rate you will be paying. (Please do NOT provide an hourly rate) Please note that the maximum day rate is £550 per day excluding VAT and reasonable expenses. If the quotations you obtain identify that the specialist advice will exceed these rates, please attach the quotation and explain the basis on which you think a higher cost is appropriate.

In some instances, you may be quoted a fixed price for a piece of work such as a technical survey or an assessment, and it will not be appropriate to calculate a day rate. In this circumstance, we expect the group to have checked that this is the market rate for this work, either by getting more than one quote and /or complying with your own financial regulations. **Please attach a copy of the quotation to your application.**

If there are additional reasonable expenses they can be outlined in the 'other' section below.

Reasonable expenses need to meet the standards as laid out below:

- Travel: Standard class rail fare
- Mileage: 45 pence per mile car, 24 pence per mile motorcycle
- Overnight accommodation: £90 per night maximum (£120 per night maximum in Inner London);

You must include all the quotes from all the professionals/consultants you are thinking about using. Please compile these into one document to upload.

We need you to have a clear idea of the scope of work you are asking a professional to undertake. This will help you to make an accurate grant application. You will be able to confirm which consultant you are using following award of grant. Remember if you are eligible for technical support it makes sense to only apply for grant to pay for items not covered by technical support.

**Please note we cannot fund salaried posts or compensate for loss of earnings. This includes paying additional hours for an existing member of staff or employing someone on a casual or freelance basis.**

Example of completed section:

#### Budget Item 1: Professional Fees

1.1 Type of Activity	1.1 Type of Activity Detail	1.1 Budget Breakdown	1.1 Cost (£0.00)
Consultants	Consultant to analyse evidence base	3 days at £400 a day	1200
1.2 Type of Activity	1.2 Type of Activity Detail	1.2 Budget Breakdown	1.2 Cost (£0.00)
Consultants	Consultant to write planning policy	3 days at £400 a day	1200
1.3 Type of Activity	1.3 Type of Activity Detail	1.3 Budget Breakdown	1.3 Cost (£0.00)
Facilitator costs	Facilitator for consultation events	3 days at £350	1050

#### Budget Item 2: Project Costs

2.1 Type of Activity	2.1 Type of Activity Detail	2.1 Budget Breakdown	2.1 Cost (£0.00)
Room hire	Hire of village hall for 2 consultation events	2 x £100 room hire costs	200.00
2.2 Type of Activity	2.2 Type of Activity Detail	2.2 Budget Breakdown	2.2 Cost (£0.00)
Website development	Setting up of website and hosting	£750 set up costs. £250 hosting costs - see attached quote	1000.00
2.3 Type of Activity	2.3 Type of Activity Detail	2.3 Budget Breakdown	2.3 Cost (£0.00)
Printing costs	Printing of consultation flyers	1000 x flyers at 0.50p each	500.00
2.4 Type of Activity	2.4 Type of Activity Detail	2.4 Budget Breakdown	2.4 Cost (£0.00)
Publicity costs	3 adverts in the local newspaper	3 x £60 for 1/2 page advert	180.00

If you are applying for website costs, please attach a quote from the relevant expert.

## Applying for a contribution to a larger budget

Groups can apply for a grant of up to £9,000 where it is a contribution to a larger overall budget. However, you will need to apply for specific items that you intend to spend the grant on and only include these in your application.

**Please make sure that items included in the grant application do not exceed £9,000.**

## Why the grant is needed / how will it help move the plan/ NDO forward?

Please use these questions to explain how the activities you want to undertake will help you to develop your plans and what you want to achieve as a result. For example, community engagement activities will help to ensure that the views of the whole community are taken in to account at an early stage. The results of the survey/open day etc will be used to prioritise further work/develop the draft policies.

Please ensure you give detailed and thoughtful responses to these questions as they will be an important part of the grant assessment.

## How are the Grant applications assessed?

### Grant Applications

Applications for a grant from eligible applicants will be assessed based on the information provided in the application form and against the following criteria:

- Is all the proposed expenditure eligible? (we will reduce the total

amount of grant awarded for any items of ineligible expenditure)

- Is there a clear need for the project spend?
- Is there evidence of how the outputs from the planned activities will help you progress towards a Neighbourhood Plan or Neighbourhood Development Order for your area?
- Is the project realistic and achievable? Can the activities be delivered in the timetable given?
- Can the activities be delivered before 31 March?
- Are the project costs reasonable and do they add up?
- Does the project demonstrate value for money in terms of the relationship between costs and benefit?

Recommendations about who gets the grants are made by Locality's assessors based on how well the application criteria have been met. Each recommendation will be reviewed by a grants panel before approval or rejection for funding.

If you are applying for the additional grant of up to £8,000, then the grant may also be subject to approval by the Ministry of Housing, Communities and Local Government.

## Letting you know the outcome of your application

We will inform you by email whether you have been successful or not. We aim to assess applications which are for grant only within 15 working days, provided that you have included all of the relevant information in your application.

Where an application is also for technical support, we will normally make our decision following the outcome for the technical support application. Therefore, you should expect it to take approximately 20 working days for a decision to be made about both

the grant and the technical support. If the decision is going to take longer than this, we will let you know.

## What happens if you are successful in being offered a grant?

Once your grant application has been approved by Locality, Groundwork UK will become the day to day contact for your grant.

They will email you offering you a grant setting out the detailed terms and conditions and requesting copies of any further documentation required in order to pay out your grant. They will do this within 10 working days of the grant being recommended by Locality. They will also send you a separate email from their Programme Management System 'Gifts' to invite you to complete their due diligence process to accept the grant offer.

For the due diligence process, you will be asked to provide bank account details for your group/organisation (or for the Accountable Body who will hold the funds on your behalf), details of at least 2 independent authorised signatories on the account (including names, home addresses, telephone numbers and email addresses) and a bank statement dated within the last three months for the bank account where you wish the grant to be paid. You will also be asked to confirm that you have appropriate insurance in place for your project.

Once you have satisfactorily submitted all of the information requested through 'Gifts', you will receive your grant payment within 10

working days, or if some information is missing or needs to be queried, you will be contacted by Groundwork UK. When planning the start date for your project, please allow at least 20 working days for Groundwork UK's process.

Successful applicants will be asked to provide a financial and activity monitoring report on completion of the planned activity. You will need to provide a report about what progress you have made as a result of the funding and submit this along with invoices and receipts for any items of £1,000 or more. Any unspent funds will need to be returned to Groundwork. Full details of reporting requirements and how to pay back any unspent funding will be provided by Groundwork UK with the terms and conditions of the grant offer.

## What do you need in order to receive funding?

If you are a Town/Parish council, or an incorporated Neighbourhood Forum with a bank account with two signatories, you can receive the funding directly into your account.

If you are a prospective/unincorporated\* Neighbourhood Forum, or an incorporated neighbourhood forum without a bank account, you will need to have identified a fundholder as part of your application.

Please note that we do not encourage neighbourhood forums to incorporate just in order to hold the grant. This will normally incur legal and financial costs such as audit fees.

\*a Neighbourhood Forum that does not have a separate legal status.

Support package	Factual information necessary for package of support	Expression of Interest and application assessment review
Setting up a neighbourhood planning group in a unparished area (Forum Development)	QB is a prospective forum	You are setting up a neighbourhood planning group in an unparished area and have not yet applied for designation.
Housing needs assessment (HNA)	Indication that the QB is seeking to assess or allocate sites for housing	<ul style="list-style-type: none"> <li>▪ Site assessment indicated</li> <li>▪ QB clear in that they want the NDP to bring forward housing</li> <li>▪ LPA requesting HNA to reveal NDPs proportion of LA housing target</li> </ul>
Site options and assessment	List of sites	<ul style="list-style-type: none"> <li>▪ QB have a list of sites</li> <li>▪ QB have/are completing a call for sites</li> <li>▪ Clear allocation of housing from the Local Plan</li> <li>▪ QB clear in that they want the NDP to bring forward housing</li> </ul>
Environmental Impact Assessment (EIA)	LPA requirements re EIA validation	<ul style="list-style-type: none"> <li>▪ Evidence, for example an email, showing that the LPA have requested EIA</li> <li>▪ Reference in the application to environmental planning context</li> </ul>
Evidence base and policy development (EBPD)	Draft neighbourhood plan which has completed Regulation 14	<ul style="list-style-type: none"> <li>▪ Length of time NDP has been in preparation</li> <li>▪ QB at Regulation 14 or beyond</li> <li>▪ Clear indication of number of themes and policies</li> </ul>
Strategic Environmental Assessment (SEA)	Screening Opinion	<ul style="list-style-type: none"> <li>▪ Screening Opinion is in place</li> <li>▪ Draft plan in place or close</li> </ul>
Neighbourhood Masterplanning	Evidence that there is a need for a whole scheme view and/or indication that the QB want to improve the public realm or help regenerate an area	Sites allocated within the emerging plan or commentary on the application form
Design including Design Codes	Indication that the QB is seeking to shape development according to the existing character of the area	Clear that the QB has a list of sites for assessment or allocation within the emerging plan and/or clear need for the protection of heritage with design codes
Habitats Regulation Assessment (HRA)	Has the LPA or Natural England stated that HRA is required?	<ul style="list-style-type: none"> <li>▪ LPA or Natural England have stated that HRA is required</li> <li>▪ Screening Opinion is in place</li> <li>▪ The scale of development in the NDP greater than that allowed for in the Local Plan</li> <li>▪ The NDP allocating sites</li> </ul>
Carrying out a plan Health Check prior to examination (HealthCheck)	Draft post Regulation 14 NDP	<ul style="list-style-type: none"> <li>▪ Full draft plan in place, clarity over Basic Conditions Statement and Consultation Statement</li> <li>▪ QB about to move to or is at Reg 14 or beyond</li> <li>▪ Length of time NDP has been in preparation as indicated by dates</li> <li>▪ Clear indication of number of themes and policies</li> </ul>

## How are the Technical Support applications assessed?

We scrutinise all applications based on eligibility, need and progress to date. All offers of Technical Support are made following review and approval from the Ministry of Housing, Communities and Local Government.

All groups eligible for Technical Support will be subject to screening and diagnostic assessments which confirms your eligibility, assesses the level of complexity of the context in which the neighbourhood plan/NDO is being prepared and identifies need against the menu of support packages.

As part of the screening assessment you can expect a telephone call to assess eligibility and verify facts. The Expression of Interest you have submitted will be checked against the application and the reason/s for eligibility are checked. This assessment also verifies

Post diagnostic, the proposed Technical Support package to be offered is submitted to the Ministry of Housing, Communities and Local Government for approval of the

## Tips and hints and Troubleshooting for completing the on line application form

**Preparing your answers before you start**  
Some of the questions on the application form are required fields and some are optional. If you leave a required field blank, you will not be able to continue to the next section of the application form until you have completed it. We provide you with text boxes to add any details that you think are relevant.

Please make sure you keep a note of any information you submit to us. You may wish to copy and paste your responses to a Word document as a backup.

**I've completed an Expression of Interest but haven't received an application form**

dates and progress from other sources of information - for example the Local Planning Authority (LPA). We will also review any previous support to determine if the group has previously accessed or is already accessing support from the programme at this stage.

Provided that the screening assessment indicates an eligible group then a longer diagnostic phone call will be arranged. The diagnostic assessment will commence within a period of 10 working days of the completion of the screening assessment. The diagnostic considers the assessment of the area, the assessment of the complexity and the assessment of risk, and determines the most appropriate Technical Support package to be offered. The diagnostic checks facts and discusses the likely package of support with the group.

**You may want a number of people to take part in this phone call.**

The minimum level of information checked at screening and diagnostic stages is set out below.

package of support. If MHCLG approve the Technical Support package offer the group will be notified by Locality in writing by e-mail.

Once you have completed and submitted the expression of interest form, this will automatically generate an application form overnight. If this doesn't arrive in your email inbox, the first thing to do is to check your junk and spam folders. Alternatively you may have incorrectly typed your email address. There are a couple of options you can try first before ringing. You can either add the email address [neighbourhoodplanning@locality.org.uk](mailto:neighbourhoodplanning@locality.org.uk) to either your white or safe list on your email settings, or complete a new expression of interest with a different email address, for instance the filters set up on .gov.uk email addresses tend to be filter out the application form so some people send it to a personal email address. If you still have not received it after completing two different email addresses and adding the email to the white list please get in touch.

**How long have I got to complete my application?**

Your link to the application form will stay live for 30 days, during which time you can revisit it at any time. It will automatically save the information you have entered provided that you have clicked the 'next' button on the relevant page. Once you have pressed the submit button on the final page you will not be able to make any further change or submit your form again.

The link will be automatically deleted after 30 days. Please ensure you complete it within this time period or any information will be lost.

### **What attachments will you need to provide?**

You will need to provide the following as attachments to your grant application:

- Quotations on company headed paper from any planning consultants that you propose to pay for from the grant. Please make sure that this explains the scope of the work to be undertaken and the relevant day rate(s).
- Quotations for the costs of any website development

Where relevant you will need to provide the following as attachments to your Technical Support application:

- LPA SEA Screening Opinion
- LPA HRA Screening Opinion

### **Contacting Locality**

If you are still having difficulty understanding some of the question being asked then please check the web site ([link](#)) as this provides FAQs as well as guidance and advice.

If you are having difficulty obtaining your application then please get in [touch](#)

## **Important notes**

### **Consent to use your data**

When you submit an application you will be providing us with data, including your personal details and those of the alternative contact. Locality, Groundwork (as grant administrator), our delivery partners and the funders of the programme (MHCLG) will use this data to process your application. In addition you will be asked to provide your

consent that we can use your data for the purposes of:

- any research or evaluation which might be commissioned in respect of neighbourhood planning
- providing mailings specific to neighbourhood planning and other activities which might be of interest to you
- 

This agreement includes any data that you have submitted on previous applications to the 2013-15 and 2015-18 neighbourhood planning support programmes. Your data will be held for the duration of the 2018-22 programme and for a further 7 years to enable us to satisfy financial reporting requirements.

Wherever your personal information may be held by us we will take reasonable and appropriate steps to ensure the information you share with us is protected from unauthorised access or disclosure.

If you change your mind about your consent to use your data after the application is submitted or you would like to discuss how your information is stored and used by Locality and our partners please contact [communications@locality.org.uk](mailto:communications@locality.org.uk).

### **Public Liability**

Please consider carefully the activities you will be undertaking throughout your grant funded project, and whether any of the activities pose a risk to members of your organisation or the public. Remember risk can take many forms – injury, financial loss, reputational damage, etc. If you feel there is risk in any activities you will undertake, you will need to consider whether insurance is required, an insurance broker or financial adviser will be able to give guidance on this. You may wish to include the cost of this as part of your application.

### **Equalities duties**

It is very important that qualifying groups ensure that people from all sections of their local community have had an opportunity to contribute to developing the neighbourhood plan/NDO. Qualifying groups should actively monitor this (for example by monitoring the profile of people who are responding to consultations) and take appropriate steps if such responses are not broadly representative of the profile of the local community. Engaging people from across the whole community is more likely to lead to the success of the plan. Advice on good practice



for involving harder to reach groups is available from a range of sources including

the [Neighbourhood Planning Roadmap Guide](#)



The Locality network is for community organisations that believe in the power of local communities to transform lives. We have hundreds of members across the country all supporting local people. We know the place, the people, the needs and we care. We also support groups to develop neighbourhood plans led by local people so they have a say over new affordable housing, the high street, local spaces and more. Unlock the power in your community and build a fairer society with us.

For information on neighbourhood planning support, please visit [neighbourhoodplanning.org](http://neighbourhoodplanning.org)

## Unlock the power in your community with us

**Locality central office**

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**0345 458 8336**

[info@locality.org.uk](mailto:info@locality.org.uk)

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Your use of this guidance is entirely at your own risk, for which we shall not be liable. It shall be your own responsibility to ensure that this guidance meets your specific requirements.

For formal Government guidance on Neighbourhood planning please visit [gov.uk](http://gov.uk)

**Neighbourhoodplanning.org**